## YUNS ADVISORY PTY LTD

ABN: 49 618 270 572

## Licence No: 512986

is hereby licensed as an Australian Financial Services Licensee pursuant to section 913B of the Corporations Act 2001 subject to the conditions and restrictions which are prescribed, and to the conditions contained in this licence and attached schedules.

#### Effective 13 November 2019

## Authorisation

- 1. This licence authorises the licensee to carry on a financial services business to:
  - (a) provide general financial product advice for the following classes of financial products:
    - (i) deposit and payment products including:
      - (A) basic deposit products;
      - (B) deposit products other than basic deposit products; and
      - (C) non-cash payment products;
    - (ii) derivatives restricted to derivatives utilised for the management of financial risk (hedging) which only have the purposes of:
      - (A) management or mitigation of the financial consequences of particular circumstances happening; or
      - (B) avoiding or limiting the financial consequences of fluctuations, in or in the value of, receipts or costs (including prices and interest rates); and
    - (iii) foreign exchange contracts; and
    - (iv) securities; and
  - (b) deal in a financial product by:
    - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
      - (A) deposit and payment products limited to:

- (1) non-cash payment products; and
- (ii) arranging for another person to issue, apply for, acquire, vary or dispose of financial products in respect of the following classes of financial products
  - (A) securities; and
- (iii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
  - (A) deposit and payment products including:
    - (1) basic deposit products;
    - (2) deposit products other than basic deposit products; and
    - (3) non-cash payment products;
  - (B) derivatives restricted to derivatives utilised for the management of financial risk (hedging) which only have the purposes of:
    - (1) management or mitigation of the financial consequences of particular circumstances happening; or
    - (2) avoiding or limiting the financial consequences of fluctuations, in or in the value of, receipts or costs (including prices and interest rates);
  - (C) foreign exchange contracts; and
  - (D) securities;





ASIC Australian Securities & Investments Commissio

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to retail and wholesale clients.





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