

Australian Financial Services Licence

YUNS FUNDS MANAGEMENT PTY LTD

ACN: 660202700

Licence No: 543698

is hereby licensed as an Australian Financial Services Licensee pursuant to section 913B of the Corporations Act 2001 subject to the conditions and restrictions which are prescribed, and to the conditions contained in this licence and attached schedules.

Effective 17 March 2023

Authorisation

1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice only, for the following classes of financial products:
 - (i) interests in managed investment schemes limited to:
 - (A) own managed investment scheme only; and
 - (ii) securities;
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives restricted to derivatives utilised for the management of financial risk (hedging) which only have the purposes of:
 - (1) management or mitigation of the financial consequences of particular circumstances happening; or
 - (2) avoiding or limiting the financial consequences or fluctuations, in or in the value of, receipts or costs (including prices and interest rates);
 - (B) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (C) securities; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives restricted to derivatives utilised for the management of financial risk (hedging) which only have the purposes of:
 - (1) management or mitigation of the financial consequences of particular circumstances happening; or
 - (2) avoiding or limiting the financial consequences or fluctuations, in or in the value of, receipts or costs (including prices and interest rates);
 - (C) general insurance products;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities; and
 - (c) provide the following custodial or depository services:



ASIC
Australian Securities &
Investments Commission

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- (i) operate custodial or depository services other than investor directed portfolio services;
to wholesale clients.

